13F reading checklist (PDF)

UXS · https://uxs.nz/stocks/why-is-13f-data-on-hedge-fund-holdings-already-out-of-date/ · 2026-11-10 · Educational only, not financial advice

Before acting on a "fund bought X" headline.

The filing

Manager, quarter-end date and filing date _____

Days between quarter end and today _____

The position

- [ ] Is it common stock, a call or a put? (Puts may be hedges)
- [ ] Could the manager have a short or written options that 13F does not show?
- [ ] Is the position large for this manager, or under 1% of the portfolio?
- [ ] Was it bought, or did the value rise with the price?

My decision

My own reason to own this stock, independent of the filing _____

Price change since the quarter-end date _____

Sources: SEC: Frequently asked questions about Form 13F; SEC: Form 13F and instructions (PDF); SEC: Form 13F data sets.
Full explanation and links: https://uxs.nz/stocks/why-is-13f-data-on-hedge-fund-holdings-already-out-of-date/
Educational content, not financial, tax or legal advice. Trading involves substantial risk of loss. Made by UXS (uxs.nz). Free to print and share unchanged with attribution.